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SEP 29 2011

RICHARD W. WIEKING
CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

GABRIEL R. CORTEZ,)	No. C 09-03021 EJD (PR)
Plaintiff,)	ORDER GRANTING MOTION FOR
vs.)	SUMMARY JUDGMENT
MATTHEW L. CATE, et al.,)	
Defendants.)	
_____)	(Docket No. 58)

Plaintiff, a California inmate at the Pelican Bay State Prison ("PBSP") in Crescent City, filed a pro se civil rights action under 42 U.S.C. § 1983 against PBSP officials for allegedly unconstitutional acts. The Court reviewed the complaint pursuant to 28 U.S.C. § 1915A(a), and found four cognizable claims.¹ Defendants Matthew L. Cate, Everett W. Fisher, Douglas McClure, R. S. Marquez, Richard J. Kirkland, Robert A. Horel, M. Castellaw, L. Polk, M. A. Cook, M. J. Nimrod, S. Wheeler, K. L. McGuyer, K. Brandon, Troy Wood, J. A. McKinney, David J. Barneburg, S. O'Dell (sued as "S.O. Dell"), Del Higgeson, J.R. McBride, Gina Brame Derrico (sued as

¹ After the Court dismissed some claims and granted leave to amend deficiencies in other claims, Plaintiff filed notice that he wished to proceed solely on the four cognizable claims. (See Docket No. 18.) The case was reassigned to this Court on April 25, 2011. (See Docket No. 63.)

1 “Genna Brame Derrico”), and T. K. Rosenkruns have moved for summary judgment.
2 (Docket No. 58.) Plaintiff filed an opposition, and Defendants filed a reply.
3

4 DISCUSSION

5 A. Standard of Review

6 Summary judgment is proper where the pleadings, discovery and affidavits
7 demonstrate that there is “no genuine issue as to any material fact and that the moving
8 party is entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(c). A court will
9 grant summary judgment “against a party who fails to make a showing sufficient to
10 establish the existence of an element essential to that party’s case, and on which that
11 party will bear the burden of proof at trial . . . since a complete failure of proof
12 concerning an essential element of the nonmoving party’s case necessarily renders all
13 other facts immaterial.” Celotex Corp. v. Catrete, 477 U.S. 317, 322-23 (1986). A fact
14 is material if it might affect the outcome of the lawsuit under governing law, and a
15 dispute about such a material fact is genuine “if the evidence is such that a reasonable
16 jury could return a verdict for the nonmoving party.” Anderson v. Liberty Lobby, Inc.,
17 477 U.S. 242, 248 (1986).

18 Generally, the moving party bears the initial burden of identifying those portions
19 of the record which demonstrate the absence of a genuine issue of material fact. See
20 Celotex Corp., 477 U.S. at 323. Where the moving party will have the burden of proof
21 on an issue at trial, it must affirmatively demonstrate that no reasonable trier of fact
22 could find other than for the moving party. But on an issue for which the opposing
23 party will have the burden of proof at trial, the moving party need only point out “that
24 there is an absence of evidence to support the nonmoving party’s case.” Id. at 325. If
25 the evidence in opposition to the motion is merely colorable, or is not significantly
26 probative, summary judgment may be granted. See Liberty Lobby, 477 U.S. at 249-50.

27 The burden then shifts to the nonmoving party to “go beyond the pleadings and
28 by her own affidavits, or by the ‘depositions, answers to interrogatories, and admissions

1 on file,' designate specific facts showing that there is a genuine issue for trial.'"
2 Celotex Corp., 477 U.S. at 324 (citations omitted). If the nonmoving party fails to make
3 this showing, "the moving party is entitled to judgment as a matter of law." Id. at 323.

4 The Court's function on a summary judgment motion is not to make credibility
5 determinations or weigh conflicting evidence with respect to a material fact. See T.W.
6 Elec. Serv., Inc. V. Pacific Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987).
7 The evidence must be viewed in the light most favorable to the nonmoving party, and
8 the inferences to be drawn from the facts must be viewed in a light most favorable to
9 the nonmoving party. See id. at 631. It is not the task of the district court to scour the
10 record in search of a genuine issue of triable fact. Keenan v. Allen, 91 F.3d 1275, 1279
11 (9th Cir. 1996). The nonmoving party has the burden of identifying with reasonable
12 particularity the evidence that precludes summary judgment. Id. If the nonmoving
13 party fails to do so, the district court may properly grant summary judgment in favor of
14 the moving party. See id.; see, e.g., Carmen v. San Francisco Unified School District,
15 237 F.3d 1026, 1028-29 (9th Cir. 2001).

16 **B. Legal Claims and Analysis**

17 In a lengthy complaint exceeding fifty pages with numerous attachments,
18 Plaintiff detailed a history of alleged harassment from January 2004 up to and following
19 his placement in the segregated housing unit ("SHU") as a validated prison gang
20 member of the Mexican Mafia ("EME") in July 2008. (Compl. at 5, 20.)

21 The following facts are not disputed unless otherwise indicated. Plaintiff was
22 first retained in the administrative segregation ("ad-seg") on February 3, 2004, pending
23 an investigation of his association with the EME. On July 7, 2004, the Classification
24 Committee placed Plaintiff in the SHU on the basis of his "ongoing involvement in
25 activities that foment violence among [inmates] and endanger [inmates] and staff alike."
26 (C. Trenary Decl., Ex. A.) There were two confidential memoranda filed in Plaintiff's
27 central file, the first dated October 8, 2003 and the second January 20, 2004, which
28 contain information connecting Plaintiff with the EME. (Id., Ex. B.) Plaintiff remained

1 in the SHU until March 7, 2006.

2 A formal investigation into Plaintiff's gang status was initiated on February 12,
3 2008, by the PBSP Institutional Gang Investigations Unit ("IGI"). The evidence in
4 Plaintiff's central file of his involvement with the EME was comprised of four
5 confidential memoranda, including the two mentioned above, which are summaries of
6 statements given by informants identifying Plaintiff as an active EME associate. The
7 third memoranda was dated January 29, 2007, and the fourth was dated January 23,
8 2008, just a few weeks before the investigation was initiated. Several of the informants
9 identified Plaintiff as a member of the "mesa," a particularly influential group of EME
10 associates which was "empowered by the EME to carry out the orders and enforce the
11 will of the EME on the General Populations Yards at [PBSP]." (*Id.*, Ex. B.) Each of
12 these confidential reports was used as a "source item" in support of Plaintiff's
13 validation as a gang member. (*Id.*)

14 On February 21, 2008, Plaintiff was informed of the source items through
15 confidential information disclosure forms, and then given 24 hours to prepare for an
16 interview with an IGI investigator to discuss the source items. Cal. Code Regs. tit. 15, §
17 3378(c)(6). On February 22, 2008, Plaintiff was interviewed by Defendants Sergeant D.
18 Barneburg and Correctional Officer J. McBride. (Trenary Decl., Ex. C.) A formal
19 validation package was submitted to the Office of Correctional Safety ("OCS") later the
20 same day. The package included the source items and Plaintiff's written and oral
21 responses. (*Id.*) Special Agents E. Fischer, T. Rosenrkuns, and D. McClure reviewed
22 these items, and concluded that all four of the source items met the validation
23 requirements. Plaintiff was validated as an EME associate on July 10, 2008. (*Id.*, Ex.
24 D.) Plaintiff was informed of the validation on July 30, 2008, and that he would be
25 placed in the SHU as a consequence in accordance with Title 15, as being deemed a
26 severe threat to the safety of the institution. Cal. Code Regs. tit. 15, § 3341.5(c). On
27 that basis, Plaintiff was placed in the SHU for an indeterminate term.

28 Plaintiff submitted an inmate appeal on August 10, 2008, challenging his gang

1 validation and SHU placement. He complained that the CDCR had a practice of
2 validating inmates on the basis of mere allegations of gang activity. He requested
3 reversal of the validation, release from the SHU, and removal of the source items from
4 his central file. (Trenary Decl., Ex. F.) The appeal was bypassed at the first level and
5 denied at the second level. Plaintiff appealed to the Director's Level, which determined
6 that proper procedures were followed and that there was sufficient evidence to support
7 the validation. Accordingly, Plaintiff's appeal was denied at the Director's Level on
8 January 5, 2009.

9 The Court found the following claims were cognizable: 1) Defendants violated
10 Plaintiff's first amendment right to association; 2) Defendants placed Plaintiff in the
11 SHU in retaliation for exercising his First Amendment right to file grievances; 3)
12 Defendants violated Plaintiff's right to equal protection by discriminating against him
13 on the basis of race; and 4) Plaintiff's placement in the SHU violates due process.

14 **1. Right to Association**

15 Plaintiff claims that his validation as a gang member violated his First
16 Amendment right to association because he was validated on the basis of an innocent
17 association with members of his own racial group, which "did not consist of and [was]
18 not in furtherance of any illegal prison gang activity whatsoever." (Compl. at 41.)
19 Defendants contend that this claim must be evaluated under the four factors set forth in
20 Turner v. Safley, 482 U.S. 78 (1987), which requires that Plaintiff show that there is no
21 reasonable relationship between the regulation or conduct being challenged and the
22 legitimate penological interests of the institution. Id. at 89.

23 Prison regulations that infringe a prisoner's constitutional right are valid so long
24 as they are "reasonably related to legitimate penological interests." Turner v. Safley,
25 482 U.S. 78, 89 (1987); see Pell v. Procunier, 417 U.S. 817, 822-23 (1974) (identifying
26 four central penological objectives as deterrence (by making incarceration undesirable),
27 protection (by quarantining criminal offenders), rehabilitation, and ensuring internal
28 security of the prison facility).

1 The Supreme Court recognized in Turner that imprisonment does not
2 automatically deprive a prisoner of certain important constitutional protections,
3 including those of the First Amendment. Beard v. Banks, 548 U.S. 521, 528 (2006).
4 But at the same time the Constitution sometimes permits greater restriction of such
5 rights in a prison than it would allow elsewhere. Id. As Overton v. Bazzetta pointed
6 out, courts owe “substantial deference to the professional judgment of prison
7 administrators.” 539 U.S. 126, 132 (2003). Turner reconciled these principles by
8 holding that restrictive prison regulations are permissible if they are “reasonably
9 related” to legitimate penological interests, and are not an “exaggerated response” to
10 such objectives. 482 U.S. at 87.

11 The Supreme Court has identified four factors to consider when determining the
12 reasonableness of a prison rule: (1) whether there is a “valid, rational connection
13 between the prison regulation and the legitimate governmental interest put forward to
14 justify it”; (2) “whether there are alternative means of exercising the right that remain
15 open to prison inmates”; (3) “the impact accommodation of the asserted constitutional
16 right will have on guards and other inmates and on the allocation of prison resources
17 generally”; and (4) the “absence of ready alternatives”, or, in other words, whether the
18 rule at issue is an “exaggerated response to prison concerns.” Turner, 482 U.S. at 89-
19 90.

20 Defendants argue that Plaintiff’s validation and SHU placement bears a
21 “reasonable relationship” to the legitimate penological interests of the institution
22 consistent with the Turner factors: 1) the prison has an obvious interest in deterring
23 gang activity in order to subdue threats before violence occurs, which often requires
24 preemptive measures such as gang validation; 2) Plaintiff’s right of association was not
25 limited beyond the scope of involvement in gang activity, and he was permitted to
26 maintain alternative means of associating with his peers through such means available
27 to him in a maximum security prison; 3) Plaintiff’s validation had a “positive impact on
28 guards and other inmates” as a proper use of resources to preserve their safety; and 4)

1 there are no alternative means of avoiding the dangers presented by prison gangs, and
2 “waiting for mayhem or murder before validating a gang member is not an acceptable
3 alternative.” (Mot. Summ. J. at 6-7.) Plaintiff in opposition repeats his assertion that
4 the validation was based purely on an “innocent association with members of his own
5 racial group [and] geographic region (Southern Hispanics).” (Oppo. at 12.)

6 It is well established that the First Amendment right to freedom of association is
7 among the rights least compatible with incarceration, see Overton v. Bazzetta, 539 U. S.
8 126, 131 (2003), and such right “may be curtailed whenever the institution’s officials, in
9 the exercise of their informed discretion, reasonably conclude that such associations...
10 possess the likelihood of disruption to prison order or stability,” Jones v. North Carolina
11 Prisoners’ Labor Union, 433 U.S. 119, 132 (1977). As Defendants have shown,
12 Plaintiff’s validation was not based on “benign association” but rather on evidence
13 showing that Plaintiff was involved with gang activity. It is undisputed that the prison
14 has a legitimate interest in preserving the safety of its inmates and staff, which includes
15 preventing gang violence. Furthermore, it cannot be said that Plaintiff’s validation and
16 placement in the SHU was an “exaggerated response” as his right to association was
17 limited only to the extent necessary to protect himself and others. Accordingly,
18 Defendants have shown that there is no genuine issue as to any material fact with
19 respect to this claim, and they are entitled to summary judgment.

20 2. Retaliation

21 Plaintiff’s second cognizable claim is that he was placed in the SHU in
22 retaliation for exercising his First Amendment right to file grievances and for “his
23 legitimate jailhouse lawyer activities.” (Compl. at 30.) “Within the prison context, a
24 viable claim of First Amendment retaliation entails five basic elements: (1) An
25 assertion that a state actor took some adverse action against an inmate (2) because of (3)
26 that prisoner’s protected conduct, and that such action (4) chilled the inmate’s exercise
27 of his First Amendment rights, and (5) the action did not reasonably advance a
28 legitimate correctional goal.” Rhodes v. Robinson, 408 F.3d 559, 567-68 (9th Cir.

1 2005) (footnote omitted). The plaintiff must show that the type of activity he was
2 engaged in was protected by the First Amendment and that the protected conduct was a
3 substantial or motivating factor for the alleged retaliatory acts. See Mt. Healthy City
4 Bd. of Educ. v. Doyle, 429 U.S. 274, 287 (1977). Retaliation is not established simply
5 by showing adverse activity by a defendant after protected speech; rather, plaintiff must
6 show a nexus between the two. See Huskey v. City of San Jose, 204 F.3d 893, 899 (9th
7 Cir. 2000).

8 In order to create a genuine issue of material fact on retaliatory motive in the
9 First Amendment context, a plaintiff must establish “in addition to evidence that the
10 defendant knew of the protected speech, at least (1) evidence of proximity in time
11 between the protected speech and the allegedly retaliatory decision, (2) evidence that the
12 defendant expressed opposition to the speech or (3) evidence that the defendant’s
13 proffered reason for the adverse action was pretextual.” Corales v. Bennett, 567 F.3d
14 554, 568 (9th Cir. 2009) (internal citation and emphasis omitted). A plaintiff must show
15 a causal connection between a defendant’s retaliatory animus and subsequent injury in
16 any sort of retaliation action. Hartman v. Moore, 547 U.S. 250, 259 (2006). The
17 requisite causation must be but-for causation, without which the adverse action would
18 not have been taken.

19 Upon a prima facie case of retaliatory harm, the burden shifts to the defendant
20 official to demonstrate that even without the impetus to retaliate he would have taken
21 the action complained of. Id. at 260; Wilkie v. Robbins, 551 U.S. 537, 560 & n.10
22 (2007) (rejecting argument that an ill motive alone supports a retaliation claim; “proof
23 that the action was independently justified on grounds other than the improper one
24 defeats the claim.”). If there is a finding that retaliation was not the but-for cause of the
25 action complained of, the claim fails for lack of causal connection between
26 unconstitutional motive and resulting harm, despite proof of some retaliatory animus in
27 the official’s mind. Hartman, 547 U.S. at 259. “It may be dishonorable to act with an
28 unconstitutional motive and perhaps in some instances be unlawful, but action colored

1 by some degree of bad motive does not amount to a constitutional tort if that action
2 would have been taken anyway.” Id. (citations omitted)

3 As a threshold matter, Plaintiff has established as a matter of law that his conduct
4 - the filing of complaints or grievances - meets the core requirement of the retaliation
5 analysis, that is, that it is constitutionally protected under the First Amendment. See
6 O’Keefe v. Van Boenly, 82 F.3d 322, 325 (9th Cir. 1996) (stating that the right to
7 petition the government for redress of grievances extends to administrative arms and
8 units of the government). Defendants concede that Plaintiff meets the first and third
9 elements of a retaliation claim, *i.e.*, that Plaintiff’s validation and SHU placement
10 constitute adverse action, and that Plaintiff’s litigation and use of the administrative
11 grievance procedures are protected conduct. (Mot. at 7, 8.) They argue that Plaintiff
12 has failed to meet the other elements.

13 As to the second element of retaliatory motive, Defendants argue that Plaintiff
14 fails to show a rational connection between his pursuit of the inmate appeals process
15 and the investigation into his gang status. Plaintiff attempts to do so by alleging that the
16 February 2008 investigation was begun the day after Defendant O’Dell responded to
17 one of his inmate appeals. (Compl. at 30.) However, it is undisputed that Plaintiff has
18 regularly submitted inmate appeals over the years, and Defendants have shown that the
19 investigation into Plaintiff’s gang status has been ongoing, beginning as far back as
20 2004 when he was first placed in ad-seg pending such an investigation. See supra at 3-
21 4. Furthermore, Plaintiff admits that he has been involved in jailhouse lawyer activities
22 for over five years, but fails to show a causal connection between such activity and the
23 gang validation.

24 As to whether Defendants’ actions chilled Plaintiff’s exercise of his First
25 Amendment rights, Defendants contend that there has been no such effect as Plaintiff’s
26 ability to submit administrative grievances or file suit in court has not been impeded.
27 (Mot. at 8.) Defendants point out that Plaintiff was able to pursue an administrative
28 appeal and file the instant action even after his validation. (Id.) However, Plaintiff

1 need not demonstrate a total chilling of his First Amendment rights in order to establish
2 a retaliation claim. See Rhodes, 408 F.3d at 568-69 (rejecting argument that inmate did
3 not state a claim for relief because he had been able to file inmate grievances and a
4 lawsuit). That a prisoner's First Amendment rights were chilled, though not necessarily
5 silenced, is enough. Id. at 569 (destruction of inmate's property and assaults on the
6 inmate enough to chill inmate's First Amendment rights and state retaliation claim, even
7 if inmate filed grievances and a lawsuit).

8 Nevertheless, even assuming Plaintiff's rights were chilled, his claim fails
9 because he fails to show the last element - that the action did not reasonably advance a
10 legitimate correctional goal. As discussed above, the prison has a legitimate
11 penological interest in preventing prison gang activity and in preserving the safety of
12 the institution. See supra at 7. Even if Plaintiff has successfully shown that he was
13 engaged in constitutionally protected activity and that the protected conduct was a
14 substantial or motivating factor for the alleged retaliatory action, Plaintiff must also
15 show that the retaliatory action advanced *no* legitimate penological interest. Hines v.
16 Gomez, 108 F.3d 265, 267-68 (9th Cir. 1997) (inferring retaliatory motive from
17 circumstantial evidence) (emphasis added). The prisoner bears the burden of pleading
18 and proving absence of legitimate correctional goals for the conduct of which he
19 complains. Pratt v. Rowland, 65 F.3d 802, 806 (9th Cir. 1995) (prisoner suing prison
20 officials under § 1983 for retaliation must allege that he was retaliated against for
21 exercising his constitutional rights and that the retaliatory action did not advance
22 legitimate penological goals, such as preserving institutional order and discipline).
23 Here, Plaintiff fails to meet this burden as he cannot refute that Defendants had
24 legitimate correctional goals for his gang validation. Accordingly, Defendants are
25 entitled to summary judgment on this claim.

26 **3. Equal Protection**

27 Plaintiff claims that Defendants violated his right to equal protection when they
28 retained him in the SHU from February 3, 2004 to March 7, 2006, because he was a

1 “Southern Hispanic.” Specifically, Plaintiff cites a CDCR memorandum entitled
2 “Identification of Southern Hispanic Leadership” issued on November 27, 2000.
3 (Compl. at 33.) He claims that Defendants discriminated against him on the basis of his
4 “membership in a protected class, racial group and geographic region.” (Compl. at
5 166.) Defendants argue that this claim is barred by the statute of limitations and
6 alternatively, that the claim is without merit. (Mot. at 9-10.) The Court will assume the
7 claim is timely, and review the claim on the merits.

8 “The Equal Protection Clause of the Fourteenth Amendment commands that no
9 State shall ‘deny to any person within its jurisdiction the equal protection of the laws,’
10 which is essentially a direction that all persons similarly situated should be treated
11 alike.” City of Cleburne v. Cleburne Living Center, 473 U.S. 432, 439 (1985) (quoting
12 Plyler v. Doe, 457 U.S. 202, 216 (1982)). A plaintiff alleging denial of equal protection
13 under 42 U.S.C. § 1983 based on race or other suspect classification must plead
14 intentional unlawful discrimination or allege facts that are at least susceptible of an
15 inference of discriminatory intent. Monteiro v. Tempe Union High School Dist., 158
16 F.3d 1022, 1026 (9th Cir. 1998). To state a claim for relief, the plaintiff must allege
17 that the defendant state actor acted at least in part because of plaintiff’s membership in a
18 protected class. Serrano v. Francis, 345 F.3d 1071, 1081-82 (9th Cir. 2003). Proof of a
19 discriminatory intent or purpose is required to show an equal protection violation based
20 on race. See, e.g., Thornton, 425 F.3d at 1166-67 (mere indifference to effect of
21 decision on member of protected class not discriminatory intent; conclusory statements
22 of bias not sufficient to prevent summary judgment); Serrano, 345 F.3d at 1082
23 (plaintiff must produce evidence sufficient to permit a reasonable trier of fact to find by
24 a preponderance of the evidence that the decision was motivated by the plaintiff’s
25 membership in the protected class to avoid summary judgment).

26 Defendants contend that the CDCR memorandum at issue was issued in response
27 to the February 23, 2000 riot at PBSP, as part of an effort to identify and segregate
28 “shotcallers,” or influential gang members, responsible for fomenting prison violence.

1 (Mot. at 10.) They assert that “Southern Hispanics” in the memorandum does not refer
2 to a specific race of inmates, but rather, it identifies the gang affiliation of inmates
3 associated with the EME. Defendants state that the criteria set forth by the
4 memorandum were not limited to the identification of Southern Hispanic inmates, but
5 that it also was relied upon as a basis for identifying and segregating influential gang
6 members from all races and gang affiliations. (R. S. Marquez Decl., at 2, Ex. A.)

7 “Prisoners are protected under the Equal Protection Clause of the Fourteenth
8 Amendment from invidious discrimination based on race.” Wolff v. McDonnell, 418
9 U.S. 539, 556 (1974) (citation omitted). Invidious racial discrimination such as racial
10 segregation, which is unconstitutional outside prisons, also is unconstitutional within
11 prisons. See Johnson, 543 U.S. at 505-06. Defendants have offered persuasive
12 evidence showing that the classification here was not based on race but rather gang
13 affiliation to the Mexican Mafia. Nevertheless, an association to the EME was based to
14 some extent on racial classification. A prison classification based on race is
15 immediately suspect and is subject to the same strict scrutiny as a racial classification
16 outside prison. See id. at 508-10. Prison officials must therefore demonstrate that the
17 race-based policy or action is narrowly tailored to serve a compelling state interest. Id.
18 at 510-11; Richardson v. Runnels, 594 F.3d 666, 671 (9th Cir. 2010) (applying Johnson
19 to racial lockdowns in response to prison disturbances). Johnson did not rule out race-
20 based classifications and did not eliminate prison security as a reason for such
21 classifications, but instead determined that prison officials must demonstrate that race-
22 based policies are narrowly tailored to address a compelling government interest such as
23 prison security. See Johnson, 543 U.S. at 511-13, 515 (remanding case for
24 determination of whether CDC’s policy of temporarily segregating inmates by race
25 when they arrive in the prison system initially or are transferred to a new prison is
26 narrowly tailored to serve a compelling state interest).

27 A claim of racial discrimination under the Equal Protection Clause requires
28 demonstration of discriminatory intent. Washington v. Davis, 426 U.S. 229, 239-40

1 (1976); Barren v. Harrington, 152 F.3d 1193, 1194-95 (9th Cir. 1998). Defendants
2 argue that since the procedures for placing him in the SHU were “facially neutral,”
3 Plaintiff is required to show that some “invidious or discriminatory purpose” underlies
4 the policy or conduct challenged. (Mot. at 11, citing Lee v. City of Los Angeles, 250
5 F.3d 668, 686-87.) Defendants contend that he fails to do so.

6 In opposition, Plaintiff asserts that the memorandum “speaks for itself,” and that
7 he need not prove discriminatory intent as the memorandum is suspect on its face.
8 (Oppo. at 22, citing Walker v. Gomez, 370 F.3d 969, 973-74 (9th Cir. 2004) (plaintiff
9 need not prove discriminatory intent or impact of policy or practice he is challenging if
10 policy is suspect on its face; policy explicitly treating inmates differently by race suspect
11 on its face).) In reply, Defendants point out that the memorandum specifically states
12 that “The inmate placement and due process issues will be adhered to per California
13 Code of Regulations, Sections 3336, 3337, and 3338.” (Reply at 6.) Defendants assert
14 that the memorandum merely clarifies the process “by which prison officials were able
15 to document and investigate activity suggesting an inmate’s involvement in violent or
16 disruptive organizations,” and that the decision to retain Plaintiff in the SHU “on the
17 basis of his involvement with such disruptive activity was consistent with CDCR
18 regulations.” (*Id.*, citing Cal. Code Regs. tit. 15, §3341.5(c).)

19 Viewing the facts in the light most favorable to Plaintiff and assuming that his
20 placement in the SHU was based, at least in part, on a race-based classification, the
21 Court finds that the procedures were narrowly tailored to address a compelling
22 government interest, *i.e.*, prison security. See Johnson, 543 U.S. at 511-13, 515. The
23 Court has examined a copy of the memorandum, as submitted into evidence by
24 Defendants, and finds that its intent is clearly stated: “The purpose of this memorandum
25 is to provide a clear process for the documentation and identification of inmates that
26 actively participate in organized disruptive activities that cause conditions likely to
27 threaten institutional security.” (Marquez Decl., Ex. A.) The procedures set forth in
28 this brief two page memo are racially neutral and applies to “any inmate identified as

1 fomenting racial unrest and violence,” which is supported by a reference to a separate
2 memorandum titled “Recommended Language for Documenting Inmates Suspected of
3 Violent Activities.” (*Id.*) In accordance with the memorandum, Plaintiff was placed in
4 ad-seg pending investigation into his involvement with the EME in February 2003. A
5 few months later, Plaintiff was placed in the SHU after the Classification Committee
6 found that Plaintiff’s “removal from the [general population] would further the
7 Department’s goal of maintaining this prison in a safe and secure manner, as supported
8 in the investigative report findings” which detailed Plaintiff’s “participation in
9 organized disruptive activities that are likely to threaten institutional security.” (Trenary
10 Decl., Ex. A.) Plaintiff fails to show that his placement in the SHU in February 2004
11 violated his right to equal protection. Defendants are entitled to summary judgment on
12 this claim.

13 4. Due Process

14 Plaintiff’s final claim is that Defendants violated his right to due process because
15 his placement in the SHU was based on insufficient evidence and that Defendants failed
16 to follow proper gang validation procedures.

17 Changes in conditions of confinement may amount to a deprivation of a state-
18 created and constitutionally-protected liberty interest, provided the liberty interest in
19 question is one of “real substance,” *see Sandin v. Conner*, 515 U.S. 472, 477, 484-87
20 (1995), and, in particular, where the restraint “imposes atypical and significant hardship
21 on the inmate in relation to the ordinary incidents of prison life,” *see id.* at 484. In
22 *Superintendent v. Hill*, 472 U.S. 445, 455 (1985), the Supreme Court held that
23 disciplinary proceedings do not satisfy due process requirements unless there is “some
24 evidence” in the record to support the findings of the prison disciplinary board. The
25 Ninth Circuit requires that “some evidence” also support a decision to place an inmate
26 in segregation for administrative reasons. *See See Toussaint v. McCarthy*, 801 F.2d
27 1080, 1104 (9th Cir. 1986). This standard applies to placement in a SHU for gang
28 affiliation. *Bruce v. Ylst*, 351 F.3d 1283, 1287-88 (9th Cir. 2003) (noting that any one

1 of three pieces of evidence -- a sheriff's department report that prisoner was a gang
 2 member, a probation report that prisoner's codefendant was a gang member, and a
 3 statement from a prison informant -- would constitute "some evidence"). The standard
 4 is met if there was some evidence from which the conclusion of the administrative
 5 tribunal could be deduced. See Toussaint, 801 F.2d at 1105 (citing Hill, 472 U.S. at
 6 455). Ascertaining whether the standard is satisfied does not require examination of the
 7 entire record, independent assessment of the credibility of witnesses or weighing of the
 8 evidence. See id. Instead, the relevant question is whether there is any evidence in the
 9 record that could support the conclusion reached. See id.

10 The Ninth Circuit also requires that the evidence relied upon by prison
 11 disciplinary boards contain "some indicia of reliability," Cato v. Rushen, 824 F.2d 703,
 12 705 (9th Cir. 1987), but has not directly considered whether a corresponding need for
 13 evidentiary reliability exists when prison officials segregate an inmate for administrative
 14 reasons.² Some district courts have extended the reliability requirement to the
 15 administrative context, however, holding that "the evidence relied upon to confine an
 16 inmate to the SHU for gang affiliation must have 'some indicia of reliability' to satisfy
 17 due process requirements." Madrid v. Gomez, 889 F. Supp. 1146, 1273-74 (N.D. Cal.
 18 1995); see Jones v. Gomez, No. C 91-3875 MHP, 1993 WL 341282, *3-4 (N.D. Cal.
 19 Aug. 23, 1993) (order denying summary judgment) (due process requires indicia of
 20 reliability due to high risk of false information by informants, inherent prisoner conflicts
 21 and necessity for independent factfinding by prison officials).

22
 23 ² If the information relied upon by the disciplinary committee are the statements
 24 of an unidentified informant, due process requires that the record contain: (1) some
 25 factual information from which the committee can reasonably conclude that the
 26 information was reliable and (2) a prison official's affirmative statement that safety
 27 considerations prevent the disclosure of the informant's name. See Zimmerlee v.
 28 Keeney, 831 F.2d 183, 186 (9th Cir. 1987), cert. denied, 487 U.S. 1207 (1988).
 Reliability may be established by: (1) the oath of the investigating officer appearing
 before the committee as to the truth of his report that contains confidential information,
 (2) corroborating testimony, (3) a statement on the record by the chairman of the
 committee that he had firsthand knowledge of sources of information and considered
 them reliable based on the informant's past record, or (4) in camera review of the
 documentation from which credibility was assessed. See id. at 186-87.

1 Defendants assert that the OCS properly determined that four source items in
2 Plaintiff's central file evidenced his participation as an active member of EME. The
3 source items were based on confidential reports by informants who identified Plaintiff
4 as a member of the EME with a direct communication link to another validated EME
5 associate. (Mot. at 13.) Defendants contend that each of these source items fit the
6 criteria outlined by Title 15, Cal. Code Regs. tit. 15, § 3378(c)(8), and that any one of
7 these source items provides sufficient evidence to meet the "some evidence" standard as
8 each of them had sufficient reliability. (*Id.*, citing *Toussaint v. McCarthy*, 926 F.2d
9 800, 803 (9th Cir. 1990).)

10 In opposition, Plaintiff challenges the reliability of these source items and
11 questions the accuracy of the information provided. In essence, Plaintiff would have
12 this Court make an independent assessment of the credibility of these confidential
13 informants and re-weigh the evidence. However, the only relevant question before this
14 Court is whether there is any evidence in the record that could support the conclusion
15 reached. *See Toussaint*, 801 F.2d at 1105. The four source documents constitutes such
16 evidence, each having some indicia of reliability: the gang investigation chrono states
17 for each source item that "the documentation meets the criteria for gang involvement as
18 prescribed in California Code of Regulations (CCR) Title 15, Section 3378(c)(8)(H),
19 Informant," which includes the requirement for classifying reliable confidential material
20 under § 3321. (Trenary Decl., Ex. B.) Plaintiff's assertions that the information
21 provided by the informants is false, even if true, does not entitle him to relief. The fact
22 that a prisoner may have been placed incorrectly in administrative segregation does not
23 raise a due process issue. The Constitution demands due process, not error-free
24 decision-making. *See Ricker v. Leapley*, 25 F.3d 1406, 1410 (8th Cir. 1994); *McCrae*
25 *v. Hankins*, 720 F.2d 863, 868 (5th Cir. 1983).

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CONCLUSION

For the reasons stated above, Defendants' motion for summary judgment is GRANTED. (Docket No. 58.) Plaintiff's claims against Defendants Matthew L. Cate, Everett W. Fisher, Douglas McClure, R. S. Marquez, Richard J. Kirkland, Robert A. Horel, M. Castellaw, L. Polk, M. A. Cook, M. J. Nimrod, S. Wheeler, K. L. McGuyer, K. Brandon, Troy Wood, J. A. McKinney, David J. Barneburg, S. O'Dell, Del Higgeson, J.R. McBride, Gina Brame Derrico, and T. K. Rosenkruns are DISMISSED with prejudice.

This order terminates Docket No. 58.

DATED: _____

9/29/11



EDWARD J. DAVILA
United States District Judge

UNITED STATES DISTRICT COURT
FOR THE
NORTHERN DISTRICT OF CALIFORNIA

GABRIEL R. CORTEZ,
Plaintiff,

Case Number: CV09-03021 EJD

CERTIFICATE OF SERVICE

v.

MATTHEW L. CATE, et al.,
Defendants.

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on 9/29/11, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Gabriel Rudy Cortez J-76501
Pelican Bay State Prison
P. O. Box 7500
Crescent City, Ca 95532

Dated: 9/29/11

Richard W. Wicking, Clerk
By: Elizabeth G. Galt Deputy Clerk